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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------------------|--------------------------------|----------------------|---------------------|------------------|
| 10/518,600 | 12/23/2005 | Thomas Lampe | 584212002400 | 3094 |
| | 7590 07/07/201 FOERSTER LLP | EXAMINER | | |
| 12531 HIGH B | | QAZI, SABIHA NAIM | | |
| SUITE 100 SAN DIEGO, CA 92130-2040 | | | ART UNIT | PAPER NUMBER |
| | | | 1612 | |
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| | | | MAIL DATE | DELIVERY MODE |
| | | | 07/07/2010 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | Application No. | Applicant(s) | | | |
|--|---|---|-----------------------|--|--|--|
| Office Action Summary | | 10/518,600 | LAMPE ET AL. | | | |
| | | Examiner | Art Unit | | | |
| | | Sabiha Qazi | 1612 | | | |
| Period fo | The MAILING DATE of this communication app or Reply | ears on the cover sheet with the o | orrespondence address | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | | |
| Status | | | | | | |
| 1)[\ | Responsive to communication(s) filed on 17 Fe | hruary 2010 | | | | |
| · · · · · · · · · · · · · · · · · · · | Responsive to communication(s) filed on <u>17 February 2010</u> . This action is FINAL . 2b) This action is non-final. | | | | | |
| ′= | <i>,</i> — | | | | | |
| 3)[| Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | |
| | closed in accordance with the practice under L | x parte Quayle, 1955 C.D. 11, 40 | 0.0.210. | | | |
| Dispositi | on of Claims | | | | | |
| 4)🛛 | Claim(s) 1-17,19,20 and 22 is/are pending in th | ne application. | | | | |
| | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | |
| 5) | 5) Claim(s) is/are allowed. | | | | | |
| | 6)⊠ Claim(s) <u>1-17, 19, 20 and 22</u> is/are rejected. | | | | | |
| 7) | Claim(s) is/are objected to. | | | | | |
| 8) | · | | | | | |
| | on Papers | | | | | |
| 9)☐ The specification is objected to by the Examiner. | | | | | | |
| - | | | =vaminer | | | |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | |
| | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| ' ' / 🗀 | 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | |
| Priority ι | ınder 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| 2) Notic 3) Inforr | t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date | 4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal F 6) Other: | ate | | | |

10/518,600 Page 2

Art Unit: 1612

Final Office Action

Claims 1-17, 19, 20 and 22 are pending. No claim is allowed at this time. Amendments are entered.

Summary of this Office Action dated July 6, 2010

- 1. Information Disclosure Statement
- 2. Copending Applications
- 3. Specification
- 4. 35 USC § 112 (1) Written Description Rejection
- 5. 35 USC § 103(a) Rejection
- 6. Double Patenting Rejection
- 7. Response to Remarks
- 8. Conclusion
- 9. Communication

10/518,600

Art Unit: 1612

Page 3

The following rejections are either reiterated or newly applied. They constitute the

complete set presently being applied to the instant application.

Information Disclosure Statement

The IDs filed on 2/17/10 is hereby acknowledged. Some NPL references contain large

number of pages e.g. 481 pages. Applicant is requested to point out the most relevant references.

The listing of references in the specification is not a proper information disclosure statement. 37

CFR 1.98(b) requires a list of all patents, publications, or other information submitted for

consideration by the Office, and MPEP § 609.04(a) states, "the list may not be incorporated into

the specification but must be submitted in a separate paper." Therefore, unless the references

have been cited by the examiner on form PTO-892, they have not been considered.

Copending Applications

Applicants must bring to the attention of the examiner, or other Office official involved

with the examination of a particular application, information within their knowledge as to other

copending United States applications, which are "material to patentability" of the application in

question. MPEP 2001.06(b). See Dayco Products Inc. v. Total Containment Inc., 66 USPQ2d

1801 (CA FC 2003).

Specification

The specification has not been checked to the extent necessary to determine the presence

of all possible minor errors. Applicant's cooperation is requested in correcting any errors of

which applicant may become aware in the specification.

10/518,600

Art Unit: 1612

Page 4

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 22 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Following reasons apply:

The "method for treating bacterial infection" as in claim 22 is considered broad and includes any disease or bacterial disease. Specification does not disclose any guidance or teaching for it.

It appears that Applicant has no possession of all the claimed subject matter at the time invention was filed. Applicant is kindly requested to explain the issue. Examiner will withdraw the rejection when Applicant will respond and show the support in the disclosure.

35 USC § 103(a) Obviousness Rejection

10/518,600 Page 5

Art Unit: 1612

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or

described as set forth in section 102 of this title, if the differences between the subject

matter sought to be patented and the prior art are such that the subject matter as a whole

would have been obvious at the time the invention was made to a person having ordinary

skill in the art to which said subject matter pertains. Patentability shall not be negatived

by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under 35

U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness

or nonobviousness.

This application currently names joint inventors. In considering patentability of the

claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various

10/518,600

Art Unit: 1612

claims was commonly owned at the time any inventions covered therein were made absent any

evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out

the inventor and invention dates of each claim that was not commonly owned at the time a later

invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c)

and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-17, 19, 20 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable

over CLERE et al. (US Patent 5,840,682, IDS reference). The reference teaches structurally

similar antibacterial compound which embraces presently claimed invention. See the entire

document especially abstract, compounds of formula (I) in column 1 and lines 21 and 22 where

the R is defined as NH-CH2-COOH.

Instant claims are considered obvious when in prior art m, n, and p are 0 and R represents

NH-CH2-COOH. In present claims R6 is hydrogen and R5 is alkyl which can be substituted by

carboxy.

Instant claims differ from the reference in that they are of different generic scope. It had

been held by Courts that the indiscriminate selection of "some" from among "many" is

considered prima facie obvious. In re Lemin, 141 USPQ 814 (1964); National Distillers and

Chem. Corp. V. Brenner, 156 USPQ 163.

The instant claimed compounds would have been obvious because one skilled in the art

would have been motivated to prepare compounds embraced by the genus of the above cited

Page 6

references with the expectation of obtaining additional beneficial compounds. The instant claimed compounds would have been suggested to one skilled in the art.

One having ordinary skill in the art would have been motivated to select the claimed compounds from the genus in the reference since such compounds would have been suggested by the reference as a whole. It has been held that a prior art disclosed genus of useful compounds is sufficient to render prima facie obvious a species falling within the genus. <u>In resusing</u> Susi, 440 F.2d 442, 445, 169 USPQ 423, 425 (CCPA 1971), followed by the <u>Federal Circuit in Merck & Co. V. Biocraft Laboratories</u>, 874 F.2d 804, 10 USPQ 2d 1843, 1846 (Fed. Cir. 1989).

In the light of the forgoing discussion, the Examiner's ultimate legal conclusion is that the subject matter defined by the instant claims would have been obvious within the meaning of 35 U.S.C. 103(a).

Double Patenting

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225

Art Unit: 1612

USPO 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPO 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-17, 19, 20 and 22 are provisionally rejected on the ground of nonstatutory obviousnesstype double patenting as being unpatentable over claims 1, 3, 26 and 27 of copending Application No. 11/453,375 (recently allowed). Although the conflicting claims are not identical, they are not patentably distinct from each other. It would have been obvious to one having ordinary skill in the art at the time of the invention to select any of the species of the genus claimed by copending application 11/453,375 (recently allowed), including those instantly claimed, because the skilled chemist would have the reasonable expectation that any of the species of the genus would have similar properties and, thus, the same use as taught for the genus as a whole. One of ordinary skill in the art would have been motivated to select the claimed compounds from the genus in the copending application since such compounds would have been

Art Unit: 1612

suggested by the reference as a whole. It has been held that a prior art disclosed genus of useful compounds is sufficient to render prima facie obvious a species falling within a genus. In re Susi, 440 F.2d 442, 169 USPQ 423,425 (CCPA 1971), followed by the Federal Circuit in Merck & Co. v. Biocraft Laboratories, 847 F.2d 804, 10 USPO 2d 1843, 1846 (Fed. Cir. 1989).

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Response to Remarks

Applicant's response filed on 2/17/10 is hereby acknowledged. Applicants' arguments have been fully considered. Rejections not reiterated from previous office actions are hereby withdrawn.

In order to expedite the prosecution Applicant may consider calling the Examiner to discuss and clearly point out the criticality of the present invention.

Conclusion

2. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

10/518,600

Art Unit: 1612

Page 10

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

COMMUNICATION

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sabiha Qazi whose telephone number is (571) 272-0622. The examiner can normally be reached on any business day except Wednesday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Krass Frederick can be reached on (571) 272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sabiha Qazi/

Primary Examiner, Art Unit 1612

Application/Control Number: 10/518,600 Page 11

Art Unit: 1612